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# New Frontiers in Risk-Based Design for Exploration

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# Risk-Based Design

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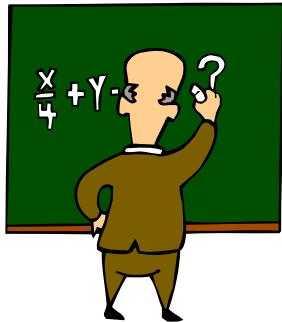
Risk-Based design uses **formal methods** to:

- a) understand and characterize risk drivers as the design develops, and
- b) incorporate risk information into principles methodologies or tools that enable engineers to make **design decisions** that **reduce risk** while meeting the overall goals of the system.



# Risk-Based Design Research Activities

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Develop **tools and methodologies** to integrate risk-based design methods into the design process

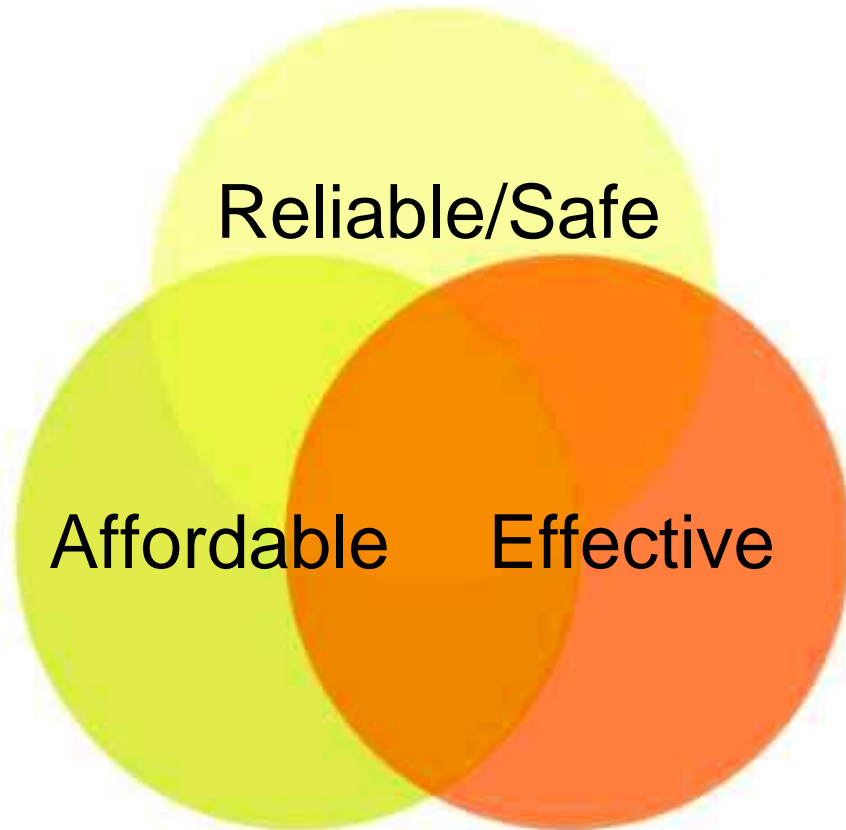


Work with engineers to **understand** how risk is managed during design



# Sustainable Exploration Challenges

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Vision for Exploration demands dramatic increases in system reliability, affordability and effectiveness

Risk-based design methods impact exploration challenges at design time



# Outline

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- Risk-based design research
  - Research group at ARC
  - Focus on early stage design
- Example project

Function Failure Design Tool
- Other projects and future directions



# Here to learn from SMA community

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- What should we know about?
- How does design fit into the risk management process?
- How can risk and failure assessment be applied to design?
- What tools are used and how?



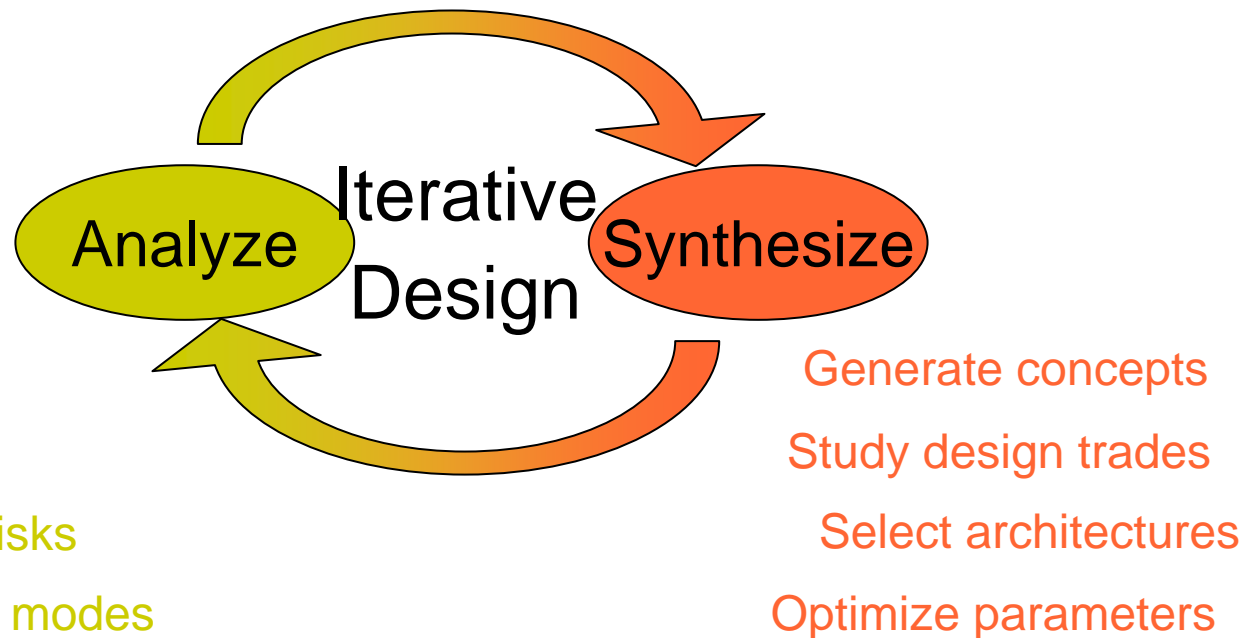
# Risk Assessment and Decision-making in Design Tasks

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Open questions:

How to perform risk analysis during different design stages

How to use risk information during design tasks





# Focus: Risk During Conceptual Design

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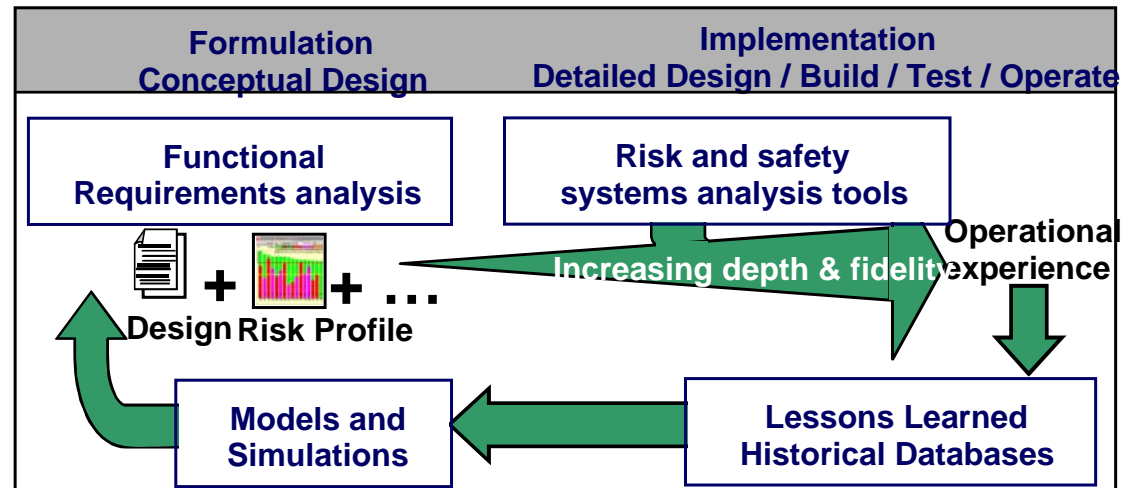
- Early stage design characteristics
  - Functional requirements partially identified
  - Few hardened solutions or physical specifications
- Assertion: More effective to mitigate risks and design against failures at early stage of design than at later stages
- Opportunities
  - Best time to catch potential failures and anomalies
  - Redesign costs are lowest during early design
  - Engineers can explore larger design space because decisions have not yet been made





# Managing Risk During Design Lifecycle at NASA

- “Risk-based design” usually means quantitative methods
  - Usually refers to PRA
  - Other parameterized probabilistic, quantitative models
- Reliability methods applied to design
  - Eg. FMEA/FMECA
  - FTA
  - ETA
- Historical Databases
  - LLIS
  - PRACA
  - PF/R





# Early Stage Design Challenges

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- Risk assessment and failure modes analysis methods
  - Developed for detailed design stage when re-design is costly
  - PRA not practical/feasible at the early design stages
  - Existing failure identification tools (FMEA, FTA) are tedious, experience-based, and system-specific
  - Hazard analysis tools system specific
- Lessons-learned databases
  - Information is system specific
  - Information goes in and stays there
  - No systematic way to search for information that is relevant to new designs
  - Lack methods to generalize historical knowledge (PRACA, P/FR, LLIS) for re-use in subsequent designs



# More Early Design Stage Challenges

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- Vast design space to explore and consider
- Preponderance of unknowns and uncertainties-  
(decisions not yet made; no physical forms chosen)
- Risk is not formally used as a tradeoff factor
- No systematic methods to optimize risk in design trades



# Basic Risk-Based Design Research

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- Formal methods
  - Quantify uncertainty in design decisions using mathematical models
  - Use formal methods to rationalize decision-making in design

## **Research areas:**

Reliability  
Risk Analysis  
Optimization  
Decision-based design  
Design Under Uncertainty  
Probabilistic design  
Robust Design  
Visualization



# Study Conceptual Design Environments

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- Study work practice in concurrent engineering environments:
  - PDC at JPL
  - IMDC at GSFC
- Develop tools/methods
- Test and validate in similar environments



# Function Failure Design Tool

## Injects risk mitigation into early design

- ✓ Given subsystem functionality, how might it fail?
- ✓ Can we add new functionality to safeguard or change the functionality to avoid the failure?
- ✓ Can we learn from different systems with similar functionality and failure modes?

## Knowledge base

- **Form:** systems, subassemblies and components from existing JPL mission studies
- **Function:** models of existing subsystems
- **Failure modes:** extracted from historical documents

## Multiple views into knowledge

- What failures or risks are associated with this **subsystem**?
- What failures are associated with this **function**?

## Functional models

- Form independent: generic and reusable
- Suits conceptual design phase

System: team x

Artifact Name	Part Family	Part Number	Sub Artifact Of	Quantity	Description	Artifact Color	Component Naming
integrated linear junction device	not specified	3	star scanner	1	not specified	not specified	not specified

Input Artifact	Input Flow	Subfunction	Output Flow	Output Artifact
external	control signal	actuate	control signal	external
external	electrical energy	actuate	electrical energy	external
external	control signal	change	control signal	external
external	control signal	convert	control signal	external
external	electrical energy	convert	control signal	external
external	control signal	import	control signal	external
external	control signal	process	control signal	external
external	electrical energy	regulate	electrical energy	external
external	control signal	sense	control signal	external
external	control signal	separate	control signal	external

Supporting Functions  
there are no supporting functions defined for this artifact.

Physical Parameters	Manufacturing Process
no parameters specified	material not specified no process specified

Primary Identifier  
breakdown

Failure Mode  
electrostatic discharge (esd)

Design tool for generating potential failure failure and risk lists



# Function Failure Design Method

## Formal elements

- Functional taxonomy spans all electro-mechanical functions
- Developed failure-mode taxonomy
- Repository of existing designs

## Implementation approach

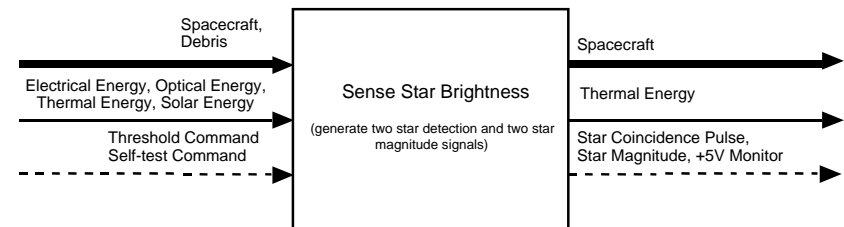
- Bottom-up: build functional models of existing subsystems (generic and reusable)
- Generate list of failures from these subsystems (failure reports, FMEAs)
- Map function to failures to create function-failure knowledge bases

Developed by Dr. Irem Tumer, ARC  
with Prof. Robert Stone, UMR



## Ex: Probe Cruise Stage: Star Scanner Assembly

Black box functional model is the highest level description of system:





# Related Projects at ARC

## Design for Systems Safety and Reliability

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- Group: Dr. Irem Tumer (lead), Dr. Francesca Barrientos, Dr. Eric Barszcz
- Collaborators on current projects:
  - Errors in **design reviews** (with Kos Ishii/ Stanford)
  - Co-design of **ISHM** with functional design (NASA and Boeing Rocketdyne)
  - **Decision management** for human-agent design teams (with D. Ullman/RDI)
  - **Modeling uncertainty** in design (with C. Paredis/GaTech)
  - Sensor selection for **ISHM design** (A. Agogino/UC Berkeley)
  - Design **Variability analysis** for fault detection (with Dan McAdams/UMR)





## Future projects pending funding:

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- Activity awareness in distributed **collaborative design** environments (with C.Hayes/UMN and M. Dorneich/Honeywell)
- Design environment for **failure recovery** for robotic servicers (with M. McCarthy/UCI)
- Risk assessment with **Probability Bound Analysis** (with C. Paredis and Lockheed)
- Trade studies and **decision making under uncertainty** (with D. Ullman/RDI)
- Model based **design for ISHM** (with Vanderbilt and Boeing Rocketdyne)



# Summary

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- Introduction to Risk-based design research at ARC
- Focus on early stage (conceptual, functional) design stage
- Real applications and engineers at NASA shape the implementation of new tools
  - Example: FFDT
- NASA is collaborating with many of the leaders in design theory and methods research



# *Questions? Discussion! Ideas...*

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Further information:

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